

Independent Study: Institutional Theory and Analysis

Summer 2020

Instructor

Saba Siddiki, PhD
Associate Professor
Public Administration and International Affairs

Course Description

This independent study will introduce students to theories and methods for studying the antecedents, structure, and implications of institutions and institutional design. Students in the independent study will be exposed to leading institutional perspectives, and theories relating thereto, as well as different analytical approaches used by scholars to assess antecedents, structure, and implications of institutional design. Students will also engage in applications of these analytical approaches in accordance with their research interests.

Course Materials

Students will complete a set of readings in advance of each weekly session. Readings have been posted to the course Blackboard site.

Assignment (100 points)

Students will complete one assignment, due 8/3/20, that will be negotiated with the instructor that links topics covered in the course with their dissertation research interests.

Grading

Students can earn a total of 100 points in this course. The percentage of points earned by students will be used to calculate their course grades. The following grade scale will be used.

94–100 = A

90–93 = A-

87–89 = B+

84–86 = B

80–83 = B-

77–79 = C+74–76 = C70–73 = C-Below C- = Failing

Course Schedule

Unit	Date	Class Topic	Readings
1	6/29	Introduction to Institutions: Conceptualization and Alternative Perspectives	<p>North. 1991. "Institutions."</p> <p>Shepsle. 2005. "Rational Choice Institutionalism."</p> <p>Thelen. 2002. "The Explanatory Power of Historical Institutionalism." Note: Reading starts on page 91 of PDF</p> <p>Zafirovski. 2006. "Paradigms for Analysis of Social Institutions: A Case for Sociological Institutionalism."</p>
2	7/6	Institutional Functions and Form: Regulative and Constitutive Institutions	<p>Searle. 2018. "Constitutive Rules."</p> <p>Cherry. 1973. "Regulative Rules and Constitutive Rules."</p> <p>Hindriks. 2009. "Constitutive Rules, Language, and Ontology."</p> <p>Ceci et al. 2015. "Legal Patterns for Different Constitutive Rules."</p> <p>Hedoin. 2015. "Accounting for Constitutive Rules in Game Theory."</p>
3	7/13	Diverse Approaches to Institutional Analysis	<p>Grief. 1998. "Historical and Comparative Institutional Analysis."</p> <p>Greif and Latin. 2004. "A Theory of Endogenous Rule Change."</p> <p>Frantz et al. 2014. "Agent-Based Modeling of Information Transmission in Early Historic Trading."</p> <p>Basurto. 2005. "How Locally Designed Access and Use Controls Can Prevent the Tragedy of the Commons in a Mexican Small-Scale Fishing Community."</p> <p>Schneiberg and Clemens. 2006. "The Typical Tools for the Job: Research Strategies in Institutional Analysis."</p>

4	7/20	Institutional Analysis and Development Framework	<p>Ostrom. 2011. "Background on the Institutional Analysis and Development Framework."</p> <p>Ostrom. 2009. "A General Framework for Analyzing Sustainability of Social-Ecological Systems."</p> <p>Ostrom. 2007. "Challenges and Growth: The Development of the Interdisciplinary Field of Institutional Analysis."</p>
5	7/27	Institutional Performance	<p>Ostrom. 2002. "Common Pool Resources and Institutions."</p> <p>Anderies et al. 2016. "Institutions and the Performance of Coupled Infrastructure Systems."</p> <p>Anderies and Janssen. 2013. "Robustness of Social-Ecological Systems: Implications for Public Policy."</p> <p>Cairney. 2019. "Institutions and New Institutionalism."</p>
6	8/3	Institutional Grammar	<p>Crawford and Ostrom. 1995. "A Grammar of Institutions."</p> <p>Siddiki et al. 2019. "Institutional Analysis with the Institutional Grammar."</p> <p>Lien et al. 2018. "Using Institutional Grammar to Improve Understanding of the Form and Function of Payment for Ecosystem Services Programs."</p> <p>Smajgl et al. 2008. "Modeling Endogenous Rule Changes in an Institutional Context: The ADICO Sequence."</p> <p><u>Optional Supplementary Material</u></p> <p>Instructional Videos on Institutional Grammar:</p> <p>https://institutionalgrammar.org/instructional-videos/</p>